
Report To:	Inverclyde Integration Joint Board	Date:	20 March 2023
Report By:	Kate Rocks Chief Officer Inverclyde Health & Social Care Partnership	Report No:	IJB/19/2023/CG
Contact Officer:	Craig Given Chief Financial Officer	Contact No:	01475 715381
Subject:	Financial Monitoring Report 2022/23 – Period to 31 December 2022, Period 9		

1.0 PURPOSE AND SUMMARY

- 1.1 For Decision For Information/Noting
- 1.2 The purpose of this report is to advise the Inverclyde Integration Joint Board (IJB) of the Revenue and Capital Budgets projected financial outturn for the year as at 31st December 2022. The report will also provide an update on current projected use of earmarked reserves and projected financial costs of the continued response to the Covid-19 pandemic.
- 1.3 The IJB set their revenue budget for 2022/23 on 21 March 2022. Funding of £66.071m was delegated by Inverclyde Council, including £0.550m non-recurring funding towards the effect of the 2022/23 pay award, currently held in the Pay Contingency earmarked reserve.
- 1.4 The March budget paper indicated that the Health funding of £128.564m (inclusive of £29.250m set aside) was indicative at the point of agreeing. A revised base budget of £124.009m is now reported to reflect budget allocations from Health in relation to Multi-disciplinary teams (MDTs) and Band 2-4 funding.
- 1.5 As at 31 December 2022, it is projected that the IJB revenue budget will have an overall underspend of £1.307m, assuming further earmarking of £0.540m set out at Section 8.2 is approved, broken down as follows:-
- Social care services are projected to be underspent by £1.326m.
 - Health Services are projected to be overspent by £0.019m.
- 1.6 The IJB holds a number of Earmarked and General Reserves; these are managed in line with the IJB Reserves Policy. The total Earmarked Reserves (EMR) available at the start of this financial year were £27.363m, with £0.962m in General Reserves not earmarked for a specific purpose, giving a total Reserve of £28.325m. The current projected year-end position on earmarked reserves is a carry forward of £14.908m. This is a net decrease of £12.455m in year due to anticipated net commitment of funding on agreed projects and earmarking agreed to

date. For the purposes of this report, it is assumed at this stage that the overall projected underspend of £1.307m will be added to general reserves.

- 1.7 The capital budgeted spend for 2022/23 is £1.346m in relation to spend on properties and assets held by Inverclyde Council, and it is currently projected that slippage of £0.834m will arise by the year end. A full update is provided at Section 11.
- 1.8 NHS capital budgets are managed by NHS Greater Glasgow and Clyde and are not reported as part of the IJB's overall position. A general update is provided in section 11 of this report.

2.0 RECOMMENDATIONS

2.1 It is recommended that the Integration Joint Board:

1. Notes the current Period 9 forecast position for 2022/23 as detailed in the report and Appendices 1-3, and notes that the position with Covid spend and return of unused earmarked reserve,
2. Approves the proposed budget realignments and virement (Appendix 4) and authorises officers to issue revised directions to the Council and/or Health Board as required on the basis of the revised figures enclosed (Appendix 5);
3. Approves the specific earmarking proposed within Section 4 and summarised at 8.2;
4. Approves the Transformation Fund bid detailed at 10.2;
5. Notes the position on the Transformation Fund (Appendix 6);
6. Notes the current capital position (Appendix 7);
7. Notes the current Earmarked Reserves position (Appendix 8).
8. Notes the key assumptions within the forecasts detailed at section 12.

Kate Rocks
Chief Officer
Inverclyde Health and Social Care Partnership

3.0 BACKGROUND AND CONTEXT

- 3.1 From 1 April 2016 the Health Board and Council delegated functions, and are making payments to the IJB in respect of those functions as set out in the integration scheme. The Health Board have also “set aside” an amount in respect of large hospital functions covered by the integration scheme.
- 3.2 The IJB Budget for 2022/23 was set on 21 March 2022 based on confirmed Inverclyde Council Funding and indicative NHS GG&C funding. The total integrated budget is £192.542m, with a projected underspend of £1.307m. The table below summarises the agreed budget and funding from partners, together with the projected operating outturn for the year as at 31 December:

	Revised Budget 2022/23 £000	Projected Outturn £000	Projected Over/(Under) Spend £000
Social Work Services*	85,265	83,939	(1,326)
Health Services*	77,927	77,946	19
Set Aside	29,350	29,350	0
HSCP NET EXPENDITURE	192,542	191,235	(1,307)
FUNDED BY			
Transfer from / (to) Reserves	-	(1,307)	(1,307)
NHS Contribution to the IJB	125,870	125,870	
Council Contribution to the IJB	66,672	66,672	
HSCP FUNDING	192,542	191,235	(1,307)
Planned Use of Reserves		13,509	
Specific earmarking requested		(1,054)	
Projected HSCP operating Surplus		(1,307)	
Annual Accounts CIES Projected Position DEFICIT/(SURPLUS)		11,148	

*excludes resource transfer

- 3.3 Appendix 1 provides the overall projected financial position for the partnership showing both the subjective and objective analysis of projections.
- 3.4 Appendix 1b shows the latest projected spend of £3.587m in relation to the continued response to the Covid-19 pandemic. This report assumes that these costs will be funded in full from the Covid EMR of £8.130m held within IJB reserves. Any unused reserves will be returned via Health to the Scottish Government.

4.0 SOCIAL CARE

- 4.1 Appendix 2 shows the projected position as at Period 9 for Social Care services. It is currently anticipated that Social Care services will underspend by £1.326m in 2022/23. Backdated pay awards are now included in the reported position and have been fully funded by budgets and EMR's held for that purpose.
- 4.2 The following sections will provide an overview of the main projected variances against Social Care delegated functions:-

The main areas of overspend within Social Care are as follows:-

- Within Children and Families, an anticipated overspend of £0.087m on continuing care placements is projected. Although a smoothing reserve is held for continuing care overspends, it is anticipated that this projection will be addressed within the overall underspend for the HSCP.
- Children and families employee costs are currently anticipated to overspend by £0.077m mainly related to additional spend on overtime and sessional within residential services. Discussions are ongoing with the service to develop a plan to manage this spend area.
- Also within Children and Families is a projected overspend of £0.308m for client commitments in place, as a result of additional residential placements during the year and placements continuing past previously anticipated end dates.
- Criminal Justice is currently projected to overspend by £0.094m, mainly attributable to client package costs shared with Learning Disabilities. An exercise is under way to claim for a proportion of these costs from Scottish Government, and the position will be updated on notification of the claim outcome.
- As previously reported, an overspend of £0.127m is anticipated within Learning Disability Services due to a shortfall in income for day services previously received in relation to out with authority placements. These placements have not resumed following the pandemic and management action will be taken to address the shortfall during next financial year.
- Learning disability client commitments are currently projected to overspend by £0.257m, an increased spend of £0.086m since last reported. This increase is due to a new transition client package, together with the likelihood that the £0.200m budget saving in relation to sleepovers will not be achieved in full this financial year. These efficiencies have been identified in full on a recurring basis from 2023/24.

The main areas of under spend within Social Care are as follows:-

- A projected underspend of £0.829m within External Homecare, being a reduction in projected spend since last reported of £0.119m. The movement is mainly due to no further growth in hours being anticipated for one of the new framework providers (£0.055m), a further reduction in hours against SDS2 providers (£0.040m) and a reduction in Direct Payments packages (£0.020m).
- Also within Older Person's there is a projected underspend of £0.270m against payments to other bodies in relation to recurring care, which the IJB are being asked to approve earmarking to fund planned expenditure in this area in future years.
- Learning disabilities employee costs are currently projected to underspend by £0.280m due to level of vacancies within the service, a minimal change since last reported.
- Vacancies within Assessment and Care Management are expected to result in an underspend on Employee Costs at year end of £0.078m. This underspend has increased by £0.020m on previous projections.
- Mental Health services are projecting an under spend of £0.281m. £0.241m of this underspend relates to care packages within the community. The remainder is mainly attributable to vacancies within the service.
- The Alcohol and Drugs Recovery service has an expected underspend of £0.084m for the year mainly in relation to client commitments held, a minimal change since last reported.

- Vacancies with the Homelessness Service are resulting in a projected underspend of £0.064m by the year end.
- As reported at Period 7, a review of contingency budgets held within Business Support for inflationary uplifts when the 2022/23 budget was set was carried out, and this released £0.551m towards the overall projected position. A further £0.143m under spend is anticipated mainly due to the level of vacancies within the service. A final £0.200m underspend is projected in this service area in relation to payments to other bodies. The IJB is requested to approve that this amount be earmarked to fund any additional pressures in client commitments in future years.
- Within Finance, Planning and Resources an underspend of £0.070m is anticipated in relation to social work system maintenance costs. It is requested that this be earmarked to part fund a temporary post to address additional workload within the service in relation to ongoing additional reporting requirements.
- The projected position reported includes an anticipated inflationary pressure for 2022-23 within Utilities of £0.122m, together with £0.430 planned spend for Cost-of-Living mitigation initiatives within Inverclyde, agreed by the IJB on 28 November 2022, to be funded by in year underspends.

5.0 HEALTH

- 5.1 Appendix 3 shows the projected position as at Period 9 for Health services. It is currently anticipated that Health services will overspend by £0.019m in 2022/23.
- 5.2 The main area of overspend within Health services is in relation to Mental Health In-Patient services, which is currently forecast to overspend by £1.297m. This is attributable to both recruitment issues and enhanced observations for nursing and medical staff, which results in the use of more expensive bank and agency staff. Bank costs continue to fluctuate and projections are updated accordingly each reporting period.
- 5.3 This overspend is offset by projected underspends in respect of vacancies and some maternity leaves throughout services, the most significant of which are; Children and Families £0.5m, Management and Admin £0.210m, Alcohol and Drug Recovery services £0.332m, Mental Health Communities £0.167m, and Strategy and Support Services £0.185m and Financial Planning of £0.3m.
- 5.4 A projected underspend of £0.3m is also anticipated on supplies and services spread throughout services with the most significant being £0.2m in relation to reduced drug costs for reduced packages within Mental Health - Communities.

Prescribing

- 5.5 Currently projecting an overspend of £0.630m, relating to inflationary increases, increased drug volumes and short supply issues. It is currently expected that this over spend can be accommodated within the overall IJB position. An earmarked reserve is held for prescribing which can be accessed at year end if required.

Set Aside

- 5.6 The Set Aside budget for 2022/23 is £29.350m and is projected online. The allocation method currently results in a balanced position each year end.

- The Set Aside budget in essence is the amount “set aside” for each IJB’s consumption of large hospital services.
- Initial Set Aside base budgets for each IJB were based on their historic use of certain Acute Services including: A&E Inpatient and Outpatient, general medicine, Rehab medicine, Respiratory medicine and geriatric medicine.
- Legislation sets out that Integration Authorities are responsible for the strategic planning of hospital services most commonly associated with the emergency care pathway along with primary and community health care and social care.
- The Set Aside functions and how they are used and managed going forward are heavily tied in to the commissioning/market facilitation work that is ongoing.

6.0 COVID

- 6.1 Appendix 1b shows current anticipated costs of £3.587m in relation to the Covid 19 pandemic and recovery activity based on the latest projections prepared. These figures are not included in Appendices 1, 2 and 3 as they will be fully funded from the balance held in the Covid earmarked reserve.

The Scottish Government have now confirmed that any unused balance held against Covid reserves is to be returned to them via a reduction in funding allocated to Health, with a reconciliation process to be carried out at year end to finalise the position for the 2022/23 financial year. The funding is currently held within IJB reserves held by the Council.

Following on from a request at last meeting of the IJB the Chair wrote to the Scottish Government to seek clarification on the legality of the process to recover the funding and the accounting mechanism proposed to do so. The Scottish Government’s response provided confirmation that they were content with the legality and the mechanism used to return funds. This correspondence has been shared with all IJB Members. Officers are also content with the legality and the accounting treatment to be used here. There is no provision in the Integration Scheme or legislation which prevents the Scottish Government from reclaiming unspent Covid funding in the manner proposed. An invoice for £4.924 million has been raised to the Council accordingly to ensure the underspend is passed back to the NHS and payment will be made around 27.03.2023.

7.0 GRANT FUNDING

- 7.1 There continues to be some uncertainty in relation to some Scottish Government funding streams e.g. Mental Health Recovery and Renewal, Action 15, Primary Care Improvement Fund and Winter planning (Multi-Disciplinary Teams). Updates will be provided when funding allocations are finalised.

8.0 EARMARKED RESERVES

- 8.1 The IJB holds a number of Earmarked and General Reserves; these are managed in line with the IJB Reserves Policy. The total Earmarked Reserves (EMR) available at the start of this financial year were £27.363m, with £0.962m in General Reserves note earmarked for a specific purpose, giving a total Reserve of £28.325m. The projected year-end position on earmarked reserves is a carry forward of £14.908m to allow continuation of current projects and smoothing reserves. This is a decrease in year due to a net anticipated spend of £12.455m against current reserves, being projected spend of £13.509m and increased earmarking requested to date of £1.054m. The position is summarised below, including an assumption at this stage that the earmarking at 8.2 is approved and that the current projected underspend would be added to general reserves:-

	Opening Balance	New Funds in Year	Total Funding	Projected Spend	Projected C/fwd
	£000s	£000s	£000s	£000s	£000s
Ear-Marked Reserves					
Scottish Government Funding - funding ringfenced for specific initiatives	13,354		13,354	11,882	1,472
Existing Projects/Commitments - many of these are for projects that span more than 1 year (incl new specific earmarking)	6,266	1,054	7,320	270	7,050
Transformation Projects - non recurring money to deliver transformational change	3,651		3,651	665	2,986
Budget Smoothing - monies held as a contingency for specific volatile budgets such as Residential Services and Prescribing to smooth out in year one off pressures	4,092		4,092	692	3,400
TOTAL Ear-Marked Reserves	27,363	1,054	28,417	13,509	14,908
General Reserves	962		962		962
In Year Surplus/(Deficit) going to/(from) reserves				-1,307	1,307
TOTAL Reserves	28,325	1,054	29,379	12,202	17,177

8.2 The position in the table above assumes earmarking of the following items, detailed in Section 4, which the IJB is requested to approve:

Earmarking requested - Period 9	£000s
Care at home - to fund expenditure in 2023/24	270
Temp post - Finance Planning and Resources	70
Client commitments 23/24	200
Total specific earmarking requested	540

9.0 VIREMENT AND OTHER BUDGET MOVEMENTS AND DIRECTIONS

9.1 Appendix 4 details the virements and other budget movements that the IJB is requested to approve. These changes have been reflected in this report. The Directions which are issued to the Health Board and Council require to be updated in line with these proposed budget changes and updated Directions are shown in Appendix 5. These require to be issued to the Council and Health Board to ensure that all services are procured and delivered in line with Best Value principles.

10.0 TRANSFORMATION FUND

10.1 The Transformation Fund was set up at the end of 2018/19. At the beginning of this financial year, the Fund balance was £1.975m. Spend against the plan is done on a bids basis through the Transformation Board. Appendix 6 details the current agreed commitments against the fund. At present there is £0.605m uncommitted.

10.2 Transformation fund requests over £0.1m require to be approved by the IJB. The Transformation Board of 11 January 2023 considered and recommended a request for a two year Clinical Nurse Therapist/Trauma Link Nurse post to enhance provision provided to care experienced young people and those who look after them. The IJB is requested to approve this bid and this request is included in the recommendations for this report.

11.0 2022/23 CAPITAL POSITION

11.1 The Social Work capital budget is £12.035m over the life of the projects with £1.346m originally projected to be spent in 2022/23. Net slippage of £0.834m (61.96%) is currently being reported, linked to the on-going development of the programme for the New Learning Disability Facility. Expenditure on all capital projects to 31 December 2022 is £0.253m (18.8% of approved budget, 49.41% of the revised projection). Appendix 4 details capital budgets

11.2 New Learning Disability Facility

The project involves the development of a new Inverclyde Community Learning Disability Hub. The previous update provided noted that the programme for delivery was being reviewed in conjunction with hub West Scotland (hWS).

11.3 Swift Upgrade

The SWIFT replacement system preferred bidder was OLM systems for their product ECLIPSE. As previously reported, discovery work including establishment of implementation plans is under way, with the first payment milestone of £0.100m due to be paid following this initial period. The remainder of the overall spend is anticipated in 2023/24 financial year.

11.4 Health Capital

Greater Glasgow and Clyde Health Board are responsible for capital spend on Health properties used by the Inverclyde HSCP. The Primary Care Improvement Plan earmarked reserve is being utilised to fund some minor works to assist delivery of the plan. There are also some minor works allocations on a non-recurring basis which are available to GP practices annually on an application basis, which require to be approved by the Clinical Director.

12.0 KEY ASSUMPTIONS

- These forecasts are based on information provided from the Council and Health Board ledgers
- The social care forecasts for core budgets and Covid spend are based on information provided by Council finance staff which have been reported to the Council's Health & Social Care Committee and provided for the Covid LMP returns.
- The Health forecasts for core budgets and Covid spend are based on information provided by Health finance staff and provided for the Covid LMP returns.
- Prescribing forecasts are based on advice from the Health Board prescribing team using the latest available actuals and horizon scanning techniques.

13.0 IMPLICATIONS

13.1 The table below shows whether risks and implications apply if the recommendation(s) is(are) agreed:

SUBJECT	YES	NO	N/A
Financial	x		
Legal/Risk		x	
Human Resources	x		
Strategic Plan Priorities	x		
Equalities		x	
Clinical or Care Governance		x	
National Wellbeing Outcomes		x	
Children & Young People's Rights & Wellbeing		x	
Environmental & Sustainability		x	
Data Protection		x	

13.2 Finance

One off Costs

Cost Centre	Budget Heading	Budget Years	Proposed Spend this Report	Virement From	Other Comments
Paper and appendices set out financial implications and adjustments					

Annually Recurring Costs/ (Savings)

Cost Centre	Budget Heading	With Effect from	Annual Net Impact	Virement From (If Applicable)	Other Comments
As above					

13.3 Legal/Risk

There are no specific legal implications arising from this report.

13.4 Human Resources

The change to planned posts notified at Section 10.2 have human resources implication, although none of the original planned post were subject to any recruitment processes as yet.

13.5 Strategic Plan Priorities

The grant funding update provided at Section 7 will impact on the delivery of PCIP priorities.

13.6 Equalities

- (a) This report has been considered under the Corporate Equalities Impact Assessment (EqIA) process with the following outcome:

YES	YES – Assessed as relevant and an EqIA is required.
X	NO – This report does not introduce a new policy, function or strategy or recommend a substantive change to an existing policy, function or strategy. Therefore, assessed as not relevant and no EqIA is required. Provide any other relevant reasons why an EqIA is not necessary/screening statement.

(b) **Equality Outcomes**

How does this report address our Equality Outcomes?

Equalities Outcome	Implications
People, including individuals from the above protected characteristic groups, can access HSCP services.	None
Discrimination faced by people covered by the protected characteristics across HSCP services is reduced if not eliminated.	None
People with protected characteristics feel safe within their communities.	None
People with protected characteristics feel included in the planning and developing of services.	None
HSCP staff understand the needs of people with different protected characteristic and promote diversity in the work that they do.	None
Opportunities to support Learning Disability service users experiencing gender based violence are maximised.	None
Positive attitudes towards the resettled refugee community in Inverclyde are promoted.	None

13.7 Clinical or Care Governance

There are no clinical or care governance implications arising from this report.

13.8 National Wellbeing Outcomes

How does this report support delivery of the National Wellbeing Outcomes?

National Wellbeing Outcome	Implications
People are able to look after and improve their own health and wellbeing and live in good health for longer.	None
People, including those with disabilities or long term conditions or who are frail are able to live, as far as reasonably practicable, independently and at home or in a homely setting in their community	None
People who use health and social care services have positive experiences of those services, and have their dignity respected.	None
Health and social care services are centred on helping to maintain or improve the quality of life of people who use those services.	None
Health and social care services contribute to reducing health inequalities.	None
People who provide unpaid care are supported to look after their own health and wellbeing, including reducing any negative impact of their caring role on their own health and wellbeing.	None
People using health and social care services are safe from harm.	None
People who work in health and social care services feel engaged with the work they do and are supported to continuously improve the information, support, care and treatment they provide.	None
Resources are used effectively in the provision of health and social care services.	Effective financial monitoring processes ensure resources are used in line with the Strategic Plan to deliver services efficiently

13.9 Children and Young People

Has a Children's Rights and Wellbeing Impact Assessment been carried out?

X

YES – Assessed as relevant and a CRWIA is required.

NO – Assessed as not relevant as this report does not involve a new policy, function or strategy or recommends a substantive change to an existing policy, function or strategy which will have an impact on children’s rights.

Environmental/Sustainability

13.10 Summarise any environmental / climate change impacts which relate to this report.

Has a Strategic Environmental Assessment been carried out?

X

YES – assessed as relevant and a Strategic Environmental Assessment is required.

NO – This report does not propose or seek approval for a plan, policy, programme, strategy or document which is like to have significant environmental effects, if implemented.

13.11 Data Protection

Has a Data Protection Impact Assessment been carried out?

X

YES – This report involves data processing which may result in a high risk to the rights and freedoms of individuals.

NO – Assessed as not relevant as this report does not involve data processing which may result in a high risk to the rights and freedoms of individuals.

14.0 DIRECTIONS

Direction Required to Council, Health Board or Both	Direction to:	
	1. No Direction Required	
	2. Inverclyde Council	
	3. NHS Greater Glasgow & Clyde (GG&C)	
	4. Inverclyde Council and NHS GG&C	x

15.0 CONSULTATION

The report has been prepared by the Chief Officer of Inverclyde Health and Social Care Partnership (HSCP) after due consideration with relevant senior officers in the HSCP.

16.0 BACKGROUND PAPERS

16.1 None

INVERCLYDE HSCP**REVENUE BUDGET 2022/23 PROJECTED POSITION****PERIOD 9: 1 April 2022 - 31 December 2022**

SUBJECTIVE ANALYSIS	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
Employee Costs	58,565	65,999	64,915	(1,084)	-1.6%
Property Costs	1,037	1,157	1,241	84	7.3%
Supplies & Services	8,994	9,236	8,953	(283)	-3.1%
Payments to other bodies	51,100	51,689	51,092	(597)	-1.2%
Family Health Services	25,568	26,345	26,345	0	0.0%
Prescribing	19,281	19,306	19,936	630	3.3%
Resource transfer	18,294	18,593	18,593	0	0.0%
Income	(22,657)	(29,133)	(29,190)	(57)	0.2%
HSCP NET DIRECT EXPENDITURE	160,181	163,192	161,885	(1,307)	-0.8%
Set Aside	29,350	29,350	29,350	0	0.0%
HSCP NET TOTAL EXPENDITURE	189,531	192,542	191,235	(1,307)	-0.7%

OBJECTIVE ANALYSIS	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
Strategy & Support Services	4,555	4,387	3,963	(424)	-9.7%
Management & Admin	7,586	6,867	5,911	(956)	
Older Persons	28,026	29,649	29,010	(640)	-2.2%
Learning Disabilities	9,919	9,864	9,873	9	0.1%
Mental Health - Communities	4,318	4,539	4,059	(480)	-10.6%
Mental Health - Inpatient Services	9,865	9,995	11,292	1,297	13.0%
Children & Families	15,381	16,037	15,913	(124)	-0.8%
Physical & Sensory	2,607	2,478	2,460	(18)	-0.7%
Alcohol & Drug Recovery Service	2,753	2,741	2,326	(415)	-15.1%
Assessment & Care Management / Health & Community Care	10,458	10,957	10,787	(170)	-1.6%
Criminal Justice / Prison Service	118	118	212	94	0.0%
Homelessness	1,266	1,098	987	(111)	-10.1%
Family Health Services	25,568	26,341	26,341	0	0.0%
Prescribing	19,468	19,528	20,158	630	3.2%
Resource Transfer *	18,294	18,593	18,593	0	0.0%
HSCP NET DIRECT EXPENDITURE	160,181	163,192	161,885	(1,307)	-0.8%
Set Aside	29,350	29,350	29,350	0	0.0%
HSCP NET TOTAL EXPENDITURE	189,531	192,542	191,235	(1,307)	-0.7%
FUNDED BY					
NHS Contribution to the IJB	94,659	96,520	96,539	19	0.0%
NHS Contribution for Set Aside	29,350	29,350	29,350	0	0.0%
Council Contribution to the IJB	65,522	66,672	65,346	(1,326)	-2.0%
HSCP NET INCOME	189,531	192,542	191,235	(1,307)	-0.7%
HSCP OPERATING (SURPLUS)/DEFICIT			(1,307)	0	0.0%
Anticipated movement in reserves *			12,455		
HSCP ANNUAL ACCOUNTS PROJECTED REPORTING (SURPLUS)/DEFICIT			11,148		

* See Reserves Analysis for full breakdown

INVERCLYDE HSCP - COVID 19**REVENUE BUDGET 2022/23 PROJECTED SPEND****As at latest LMP submission Feb 23**

SUMMARISED MOBILISATION PLAN	Social Care 2022/23 £'000	Health 2022/23 £'000	Revenue 2022/23 £'000
COVID-19 COSTS HSCP			
Scale up of Public Health Measures		(3)	(3)
Flu Vaccination & Covid-19 Vaccination (FVCV)		120	120
Additional Staff Costs (Contracted staff)	204	157	361
Additional Staff Costs (Non-contracted staff)		26	26
Additional Equipment and Maintenance		7	7
Additional Infection Prevention and Control Costs	25		25
Additional PPE	80		80
Children and Family Services	1,695		1,695
Homelessness and Criminal Justice Services	95		95
Covid-19 Financial Support for Adult Social Care Providers	368		368
Social Care Support Fund Claims	635		635
Additional FHS Contractor Costs		(5)	(5)
Digital & IT costs	12	4	16
Other		2	2
Staff Wellbeing	54		54
Loss of Income	111		111
Test and Protect			0
Projected Covid related spend fully funded by Covid EMR	3,279	308	3,587

SOCIAL CARE**REVENUE BUDGET 2022/23 PROJECTED POSITION****PERIOD 9: 1 April 2022 - 31 December 2022**

SUBJECTIVE ANALYSIS	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
SOCIAL CARE					
Employee Costs	33,965	35,808	35,019	(789)	-2.2%
Property costs	1,025	1,024	1,108	84	8.2%
Supplies and Services	1,005	1,336	1,367	31	2.3%
Transport and Plant	352	397	367	(30)	-7.6%
Administration Costs	732	730	762	32	4.4%
Payments to Other Bodies	51,100	51,689	51,092	(597)	-1.2%
Income	(22,657)	(24,312)	(24,369)	(57)	0.2%
SOCIAL CARE NET EXPENDITURE	65,522	66,672	65,346	(1,326)	-2.0%

OBJECTIVE ANALYSIS	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
SOCIAL CARE					
Children & Families	11,638	12,152	12,542	390	3.2%
Criminal Justice	118	118	212	94	79.7%
Older Persons	28,026	29,649	29,009	(640)	-2.2%
Learning Disabilities	9,359	9,289	9,359	70	0.8%
Physical & Sensory	2,607	2,478	2,460	(18)	-0.7%
Assessment & Care Management	2,804	2,629	2,534	(95)	-3.6%
Mental Health	1,222	1,324	1,043	(281)	-21.2%
Alcohol & Drugs Recovery Service	950	970	886	(84)	-8.7%
Homelessness	1,266	1,098	987	(111)	-10.1%
Finance, Planning and Resources	1,792	2,060	2,103	43	0.0%
Business Support	5,740	4,905	4,211	(694)	0.0%
SOCIAL CARE NET EXPENDITURE	65,522	66,672	65,346	(1,326)	-2.0%

COUNCIL CONTRIBUTION TO THE IJB	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
Council Contribution to the IJB*	65,522	66,672	65,346	(1,326)	-2.0%
Projected Transfer (from) / to Reserves				1,326	

HEALTH**REVENUE BUDGET 2022/23 PROJECTED POSITION****PERIOD 9: 1 April 2022 - 31 December 2022**

SUBJECTIVE ANALYSIS	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
HEALTH					
Employee Costs	24,600	30,191	29,896	(295)	-1.0%
Property	12	133	133	0	0.0%
Supplies & Services	6,905	6,773	6,457	(316)	-4.7%
Family Health Services (net)	25,568	26,345	26,345	0	0.0%
Prescribing (net)	19,281	19,306	19,936	630	3.3%
Resource Transfer	18,294	18,593	18,593	0	0.0%
Income	(0)	(4,821)	(4,821)	0	0.0%
HEALTH NET DIRECT EXPENDITURE	94,659	96,520	96,539	19	0.0%
Set Aside	29,350	29,350	29,350	0	0.0%
HEALTH NET DIRECT EXPENDITURE	124,009	125,870	125,889	19	0.0%

OBJECTIVE ANALYSIS	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
HEALTH					
Children & Families	3,743	3,885	3,371	(514)	-13.2%
Health & Community Care	7,654	8,328	8,253	(75)	-0.9%
Management & Admin	1,846	1,962	1,700	(262)	-13.4%
Learning Disabilities	560	575	514	(61)	-10.6%
Alcohol & Drug Recovery Service	1,803	1,771	1,440	(331)	-18.7%
Mental Health - Communities	3,096	3,215	3,016	(199)	-6.2%
Mental Health - Inpatient Services	9,865	9,995	11,292	1,297	13.0%
Strategy & Support Services	540	647	462	(185)	-28.6%
Family Health Services	25,568	26,341	26,341	0	0.0%
Prescribing	19,468	19,528	20,158	630	3.2%
Financial Planning	2,223	1,680	1,399	(281)	0.0%
Resource Transfer	18,294	18,593	18,593	0	0.0%
HEALTH NET DIRECT EXPENDITURE	94,659	96,520	96,539	19	0.0%
Set Aside	29,350	29,350	29,350	0	0.0%
HEALTH NET DIRECT EXPENDITURE	124,009	125,870	125,889	19	0.0%

HEALTH CONTRIBUTION TO THE IJB	Budget 2022/23 £000	Revised Budget 2022/23 £000	Projected Out-turn 2022/23 £000	Projected Over/(Under) Spend £000	Percentage Variance
NHS Contribution to the IJB	124,009	125,870	125,889	(19)	0.0%
Transfer (from) / to Reserves				19	

Budget Movements 2022/23
Inverclyde HSCP

Appendix 4

Inverclyde HSCP - Service	Approved Budget	Movements			Transfers (to)/ from	Revised Budget
	2022/23	Inflation	Virement	Supplementary Budgets	Earmarked Reserves	2022/23
	£000	£000	£000	£000	£000	£000
Children & Families	15,381	0	200	456	0	16,037
Criminal Justice	118	0	0	0	0	118
Older Persons	28,026	0	1,544	78	0	29,648
Learning Disabilities	9,919	0	(222)	168	0	9,864
Physical & Sensory	2,607	0	(188)	59	0	2,478
Assessment & Care Management/ Health & Community Care	10,458	0	(509)	1,007	0	10,956
Mental Health - Communities	4,318	0	159	63	0	4,539
Mental Health - In Patient Services	9,865	0	126	5	0	9,995
Alcohol & Drug Recovery Service	2,753	0	(67)	55	0	2,741
Homelessness	1,266	0	(222)	54	0	1,098
Strategy & Support Services	4,555	0	(351)	183	0	4,387
Management, Admin & Business Support	7,586	0	(869)	151	0	6,868
Family Health Services	25,568	0	0	773	0	26,341
Prescribing	19,468	0	100	(40)	0	19,528
Resource Transfer	18,294	0	299	0	0	18,593
Set aside	29,350	0	0	0	0	29,350
Totals	189,531	0	(1)	3,012	0	192,542

Social Care - Service	Approved Budget	Movements			Transfers (to)/ from	Revised Budget
	2022/23	Inflation	Virement	Supplementary Budgets	Earmarked Reserves	2022/23
	£000	£000	£000	£000	£000	£000
Children & Families	11,638		171	343		12,152
Criminal Justice	118		0	0		118
Older Persons	28,026		1,545	78		29,649
Learning Disabilities	9,359		(227)	157		9,289
Physical & Sensory	2,607		(188)	59		2,478
Assessment & Care Management	2,804		(273)	98		2,629
Mental Health - Community	1,222		39	63		1,324
Alcohol & Drug Recovery Service	950		(35)	55		970
Homelessness	1,266		(222)	54		1,098
Strategy & Support Services	1,792		176	92		2,060
Business Support	5,740		(986)	151		4,905
Totals	65,522	0	0	1,150	0	66,672

Health - Service	Approved Budget	Movements			Transfers (to)/ from	Revised Budget
	2022/23	Inflation	Virement	Supplementary Budgets	Earmarked Reserves	2022/23
	£000	£000	£000	£000	£000	£000
Children & Families	3,743		29	113		3,885
Health & Community Care	7,654		(236)	909		8,327
Management & Admin	1,846		116			1,962
Learning Disabilities	560		5	11		575
Alcohol & Drug Recovery Service	1,803		(32)			1,771
Mental Health - Communities	3,096		120			3,215
Mental Health - Inpatient Services	9,865		126	5		9,995
Strategy & Support Services	540		16	91		647
Family Health Services	25,568			773		26,341
Prescribing	19,468		100	(40)		19,528
Financial Planning	2,223		(543)			1,680
Resource Transfer	18,294		299			18,593
Set aside	29,350					29,350
Totals	124,009	0	(1)	1,862	0	125,870

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
(SCOTLAND) ACT 2014

GREATER GLASGOW & CLYDE NHS HEALTH BOARD is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB's Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 1, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 1, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2022/23 £000
HEALTH	
Employee Costs	30,191
Property costs	133
Supplies and Services	6,773
Family Health Services (net)	26,345
Prescribing (net)	19,306
Resources Transfer	18,593
Income	(4,821)
HEALTH NET DIRECT EXPENDITURE	96,520
Set Aside	29,350
NET EXPENDITURE INCLUDING SCF	125,870

Health Transfer from EMR	19
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OBJECTIVE ANALYSIS	Budget 2022/23 £000
HEALTH	
Children & Families	3,885
Health & Community Care	8,328
Management & Admin	1,962
Learning Disabilities	575
Alcohol & Drug Recovery Service	1,771
Mental Health - Communities	3,215
Mental Health - Inpatient Services	9,995
Strategy & Support Services	647
Family Health Services	26,341
Prescribing	19,528
Financial Planning	1,680
Resource Transfer	18,593
HEALTH NET DIRECT EXPENDITURE	96,520
Set Aside	29,350
NET EXPENDITURE INCLUDING SCF	125,870

This direction is effective from 23 January 2023.

INVERCLYDE INTEGRATION JOINT BOARD

DIRECTION

ISSUED UNDER S26-28 OF THE PUBLIC BODIES (JOINT WORKING)
 (SCOTLAND) ACT 2014

THE INVERCLYDE COUNCIL is hereby directed to deliver for the Inverclyde Integration Joint Board (the IJB), the services noted below in pursuance of the functions noted below and within the associated budget noted below.

Services will be provided in line with the IJB's Strategic Plan and existing operational arrangements pending future directions from the IJB. All services must be procured and delivered in line with Best Value principles.

Services: All services listed in Annex 2, Part 2 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Functions: All functions listed in Annex 2, Part 1 of the Inverclyde Health and Social Care Partnership Integration Scheme.

Associated Budget:

SUBJECTIVE ANALYSIS	Budget 2022/23 £000
SOCIAL CARE	
Employee Costs	35,808
Property costs	1,024
Supplies and Services	1,336
Transport and Plant	397
Administration Costs	730
Payments to Other Bodies	51,689
Income (incl Resource Transfer)	(24,312)
SOCIAL CARE NET EXPENDITURE	66,672
Social Care Transfer to EMR	(1,326)
Health Transfer from EMR *	19
Total anticipated transfer to EMR at year end	(1,307)

OBJECTIVE ANALYSIS	Budget 2022/23 £000
SOCIAL CARE	
Children & Families	12,152
Criminal Justice	118
Older Persons	29,649
Learning Disabilities	9,289
Physical & Sensory	2,478
Assessment & Care Management	2,629
Mental Health	1,324
Alcohol & Drugs Recovery Service	970
Homelessness	1,098
Finance, Planning and Resources	2,060
Business Support	4,905
SOCIAL CARE NET EXPENDITURE	66,672

This direction is effective from 20 March 2023.

HSCP Transformation Board

IJB Transformation Fund Monitoring Report - ongoing projects

Total Fund Balance as at 1 April 2022
Balance committed to date
Balance uncommitted

1,975,000
1,370,210
604,790

Project No	Ongoing Project Title	Service Area	Approved IJB/TB	Agreed Funding	2019/20 Spend	2020/21 Spend	2021/22 Spend	2022/23 Spend	Balance to spend
009	Equipment Store Stock system - £50k capital plus 1.5 yrs revenue costs up to £20k in total	ICIL	TB	70,000	0	42,405	10,381	308	16,906
031	Proud2Care to enable the continued partnership with Your Voice over 18 months to support continued Proud2Care activity.	C&F	IJB	110,000		60,000	30,000		20,000
035	Review of Care and Support at Home. 12 month fixed term posts 0.5wfe Grade 10 Project Lead and 2wfe Grade 5s	Health & Community Care	TB	98,600			9,715	15,297	73,588
036	CLDT Review Team and TEC response. 1wfe Social worker post and 1wfe Social Work assistant, both f/t 12 months.	CLDT	TB	95,580			7,522	29,722	58,336
037	Planning & Redesign Support Officer - will be responsible for the Locality Planning and Community Engagement Work with a focus also on the Business Support Review. £131k over 2 years.	Planning	IJB	131,000					131,000
038	Ipromise - Mind of my own - digital resource to allow young people to access software 24/7.	Children's Services	TB	53,176				35,949	17,227
039	SWIFT replacement project - backfill. 18 month project.	HSCP wide	IJB	497,729					497,729
040	C&F Spend to Save: Recruitment of 5 x temp QSWs. Staffing increase would allow capacity to undertake wellbeing assessments/short term work with a view to reducing placement pressures.	Children's Services	IJB	179,760					179,760
041	Learning Academy - newly qualified social worker support year and practice teaching hub. 2 year project.	Strategy & Support Services	TB	53,690					53,690

APPENDIX 7

INVERCLYDE HSCP - CAPITAL BUDGET 2022/23

PERIOD 9: 1 April 2022 - 31 December 2022

Project Name	Est Total Cost £000	Current year				Future years				
		Actual to 31/03/22 £000	Approved Budget 2022/23 £000	Revised Estimate 2022/23 £000	Actual to 31/12/22 £000	Estimate 2023/24 £000	Estimate 2024/25 £000	Estimate 2024/25 £000	Future Years £000	
Social Work										
Crosshill Childrens Home Replacement	2,315	2,016	249	299	252	0	0	0	0	0
New Learning Disability Facility	9,507	133	884	100		3,070	6,204	0	0	0
Swift Upgrade	200	0	200	100		100	0	0	0	0
Complete on site	13	0	13	13	1	0	0	0	0	0
Social Work Total	12,035	2,149	1,346	512	253	3,170	6,204	0	0	0

Summary of Balance and Projected use of reserves

EMR type/source	Balance at 31 March 2022 £000	Projected spend 2022/23 £000s	Projected balance as at 31 March 2023 £000s	Earmark for future years £000s	CO/Head of Service	Comments
SCOTTISH GOVERNMENT FUNDING - SPECIFIC FUNDS						
Mental Health Action 15	236	236	0	0	Gail Kilbane	
Alcohol & Drug Partnerships	843	843	0	0	Gail Kilbane	Reserves to be utilised first in 2022/23
Covid - 19	8,130	8,130	0	0	Kate Rocks	Any unspent funding to be returned to Scottish Govt via Health
Primary Care Improvement Programme	1,527	1,527	0	0	Allen Stevenson	
Covid Community Living Change	320	80	240	240	Allen Stevenson	Earmark for continuation of work
Covid Shielding SC Fund	34	34	0	0	Allen Stevenson	
DN Redesign	88	88	0	0	Allen Stevenson	
Winter planning - MDT	217	217	0	0	Allen Stevenson	Earmark for continuation of work
Winter planning - Health Care Support Worker	206	0	206	206	Allen Stevenson	Earmark for continuation of posts
Winter pressures - Care at Home	712	268	444	444	Allen Stevenson	Plans under way which will utilise balance fully
Care home oversight	115	55	60	60	Allen Stevenson	Earmark for continuation of oversight work
MH Recovery & Renewal	877	355	522	522	Allen Stevenson	Earmark for continuation of projects
Covid projects - funding from Inverclyde Council	49	49	0	0	Craig Given	30k Food to Fork/14k Wellbeing/£5k Inverclyde Cares - any underspend will go back to Council
Sub-total	13,354	11,882	1,472	1,472		
EXISTING PROJECTS/COMMITMENTS						
Integrated Care Fund	109	26	83	83	Allen Stevenson	£75k committed for ADHD Waiting List Initiative - any unspent will be incurred in 23/24
Delayed Discharge	102	28	74	74	Allen Stevenson	Earmark for continuation of funded posts
Welfare	350	93	257	257	Craig Given	Earmark for continuation of project
Primary Care Support	338	42	296	296	Hector McDonald	Earmark for continuation of project
SWIFT Replacement Project	504	144	360	360	Craig Given	For continued project implementation and contingency
Rapid Rehousing Transition Plan (RRTP)	136	0	136	136	Gail Kilbane	Full spend reflected in 5 year RRTP plan
LD Estates	437	20	417	417	Allen Stevenson	
Refugee Scheme	1,077	(678)	1,755	1,755	Alan Best	Additional income for Ukraine refugees received in year. Spend plans for next 4 years in place including refugee support team, additional social work capacity, language support and support service costs plus third sector support of refugees. Will be fully utilised over the coming years
Tier 2 Counselling	312	42	270	270	Jonathon Hinds	School counselling contract being renewed for additional year and commitment held for future years
CAMHS Tier 2	100	0	100	100	Jonathon Hinds	Earmark for continuation of project
C&YP Mental Health & Wellbeing	84	84	0	0	Jonathon Hinds	
Whole Family Wellbeing	64	0	64	64	Jonathon Hinds	
CAMHS Post	68	0	68	68	Jonathon Hinds	
Dementia Friendly Inverclyde	89	89	0	0	Gail Kilbane	
Contribution to Partner Capital Projects	1,103	137	966	966	Kate Rocks	LD Hub spend reprofiled to later years 500k contribution likely to be during next two financial years
Staff Learning & Development Fund	254	79	175	175	Allen Stevenson	
Fixed Term Staffing	200	0	200	200	Allen Stevenson	
Continuous Care	425	0	425	425	Jonathon Hinds	
Homelessness	350	0	350	350	Gail Kilbane	
Autism Friendly	164	164	0	0	Allen Stevenson	
Sub-total	6,266	270	5,996	5,996		
TRANSFORMATION PROJECTS						
Transformation Fund	1,975	230	1,745	1,745	Kate Rocks	see Appendix 6
Addictions Review	250	0	250	250	Gail Kilbane	
Mental Health Transformation	750	135	615	615	Gail Kilbane	
IJB Digital Strategy	676	300	376	376	Allen Stevenson	Analogue to Digital commitments - orders under way although some delays being experienced
Sub-total	3,651	665	2,986	2,986		
BUDGET SMOOTHING						
Adoption/Fostering/Residential Childcare	800	0	800	800	Jonathon Hinds	
Prescribing	798	0	798	798	Allen Stevenson	
Residential & Nursing Placements	1,003	0	1,003	1,003	Allen Stevenson	
LD Client Commitments	600	0	600	600	Allen Stevenson	
Pay contingency	891	692	199	199	Craig Given	£550k contribution from Council included here
Sub-total	4,092	692	3,400	3,400		
Specific earmarking requests	0	(1,054)	1,054	1,054		Specific earmarking requested during 22/23
Total Earmarked	27,363	12,455	14,908	14,908		
UN-EARMARKED RESERVES						
General	962	(1,307)	2,269	2,269	Craig Given	Projected underspend added to balance
Un-Earmarked Reserves	962	(1,307)	2,269	2,269		
TOTAL Reserves	28,325	11,148	17,177	17,177		